

(15) Perfect Numbers

Euler used the notation σ_n to denote the sum of the positive divisors of n - including n itself.

Example $\sigma_4 = 1+2+4=7 \quad \sigma_p = 1+p \text{ if } p \text{ is prime}$

$$\sigma_6 = 1+2+3+6=12$$

Lemma If $\gcd(a, b) = 1$ for $a, b \in \mathbb{Z} - \{0\}$, then

$$\sigma_{ab} = \sigma_a \sigma_b$$

Proof The positive divisors of ab can each be written in the form $a_i b_j$, where $a_i | a$ and $b_j | b$. $a_i, b_j > 0$ in exactly one way.

$$\text{So } \sigma_{ab} = \sum_{\substack{c>0 \\ c|ab}} c = \left(\sum_{\substack{a_i>0 \\ a_i|a}} a_i \right) \left(\sum_{\substack{b_j>0 \\ b_j|b}} b_j \right)$$

Definition $n \in \mathbb{Z}_+$, $n \geq 2$ is perfect if $\sigma_n = 2n$, that is, n is the sum of its proper (positive) divisors (not counting n).

Example $\sigma_6 = 12 = 2 \times 6$

$$\sigma_{28} = 1+2+4+7+14+28 = 56 = 2 \times 28$$

$$6 = 2 \times 3 \quad 28 = 4 \times 7 \quad \text{The next perfect numbers are}$$

$$496 = 2^4 \times 31 \quad \text{and } 8128 = 2^4 \times 508 = 2^6 \times 127$$

Theorem (~~if~~) If $2^n - 1$ is prime then $2^n(2^{n+1} - 1)$ is a perfect number

Proof $\sigma_{2^n} = 1 + \dots + 2^n = 2^{n+1} - 1$. Since $2^{n+1} - 1$ is prime, $\sigma_{2^{n+1}-1} = 2^{n+1} - 1 + 1 = 2^{n+1}$

$$\text{So } \sigma_{2^n(2^{n+1}-1)} = \sigma_{2^n} \times \sigma_{2^{n+1}-1} = 2^{n+1}(2^{n+1}-1).$$

(16)

Theorem (Euler) Every even perfect number is of the form $2^n(2^{n+1}-1)$ where $2^{n+1}-1$ is prime.

Proof Suppose N is even and perfect. Then $N = 2^n A$ for some $n \in \mathbb{Z}_+$ and A odd.

$$\sigma(N) = \sigma(2^n A) = \sigma(2^n) * \sigma(A) \text{ since } \gcd(2^n, A) = 1$$

$$\sigma(N) = (2^{n+1}-1) \sigma(A) = 2^{n+1} A = 2N$$

$$\gcd(2^n, 2^{n+1}-1) = 1 \Rightarrow 2^{n+1} \mid \{\sigma(A) \text{ and } 2^{n+1}-1\} A$$

$$\text{So } A = k(2^{n+1}-1) \text{ and } \sigma(A) = k2^{n+1}$$

If $k \geq 1$ then $1, k, k(2^{n+1}-1)$ are all divisors of A

$$\text{So } \sigma(A) \geq 1 + k + k(2^{n+1}-1) = 1 + k2^{n+1} - k$$

$$\text{So } k=1 \text{ and } A = (2^{n+1}-1) \text{ and } \sigma(A) = 2^{n+1}$$

If A is not prime nor $\sigma(A) > 2^{n+1}$ so A is prime

$$\text{So } N = 2^n(2^{n+1}-1) \text{ where } 2^{n+1}-1 \text{ is prime. } \square$$

Odd Perfect numbers

It is unknown whether there are any odd perfect numbers. We shall look at some of the simple properties that are known.

Suppose that N is odd, $N \in \mathbb{Z}_+$, $N \geq 3$ and N is perfect. Then $N = \prod_{i=1}^k p_i^{n_i}$ for $k \in \mathbb{Z}_+$, odd distinct primes p_i and $n_i \in \mathbb{Z}_+$, $1 \leq i \leq k$

(17)

Since the $p_i^{n_i}$ are coprime for $1 \leq i \leq k$,

$$\int N = \prod_{i=1}^k \int p_i^{n_i}$$

$$\int p_i^{n_i} = 1 + \dots + p^{n_i} = \frac{p - 1}{p_i - 1}$$

$$\int N = 2N \implies \prod_{i=1}^k \frac{p_i^{n_i+1} - 1}{p_i - 1} = 2 \prod_{i=1}^k p_i^{n_i} \quad (1)$$

Here, both LHS and RHS are integers. Some information can be obtained from writing the equation like this. Other ways

$$\text{are } \prod_{i=1}^k \left(1 - \frac{1}{p_i^{n_i}}\right) = 2 \prod_{i=1}^k \left(1 - \frac{1}{p_i}\right) \quad (2)$$

$$\text{and } \prod_{i=1}^k \left(\sum_{j=0}^{n_i} \frac{1}{p_i^j}\right) = 2 \quad (3)$$

From this we can obtain some information. Note that the LHS of (2) is < 1 , so if equality holds then the RHS must also

be $\frac{1}{2}$

(Euler)

Theorem If $N \in \mathbb{Z}_+ \setminus \{1, 2\}$ is odd perfect written $\prod_{i=1}^k p_i^{n_i}$ as shown,

then $k \geq 3$, that is, N has at least three distinct prime factors

Proof From (2) we have, if $k=1$ $1 - \frac{1}{p_1^{n_1+1}} = 2 \left(1 - \frac{1}{p_1}\right)$

but $p_1 \geq 3 \implies 2 \left(1 - \frac{1}{p_1}\right) \geq \frac{4}{3}$ and $1 - \frac{1}{p_1^{n_1+1}} < 1$

(18)

If $k=2$ we have

$$\left(1 - \frac{1}{p_1^{n_1+1}}\right) \left(1 - \frac{1}{p_2^{n_2+1}}\right) < 1 \text{ and}$$

$$2\left(1 - \frac{1}{p_1}\right)\left(1 - \frac{1}{p_2}\right) \geq 2\left(1 - \frac{1}{3}\right)\left(1 - \frac{1}{5}\right) = \frac{16}{15} \quad \square$$

We can extract some extra information from this.

If $k=3$, it can be shown that there are only 3 possibilities.

for (p_1, p_2, p_3) . These can be excluded. One might think that one could continue like this. However, all that is known in this line is that if N exists, it must have at least 9 distinct prime factors (Nelson, 2006?) and must have at least 101 non-necessarily-distinct prime factors (Ochem-Rao, 2012?).

Considering equation (1) also gives important information.

Theorem (Euler) Let p be an odd prime and $n \in \mathbb{Z}_+$.

Then the integer $\frac{p^n - 1}{p - 1} \equiv 0 \pmod{2}$ (that is, is even).

$\Leftrightarrow n \equiv 1 \pmod{2}$ (that is, n is odd)

$$\frac{p^{n+1} - 1}{p - 1} \equiv 0 \pmod{4} \Leftrightarrow p \equiv -1 \pmod{4} \text{ and } n \equiv 1 \pmod{2}$$

or $n \equiv -1 \pmod{4}$

Consequently if N is perfect and written as $\prod p_i^{n_i}$, then there is exactly one p_i such that $n_i \equiv 1 \pmod{2}$. For this i , $p_i \equiv 1 \pmod{4}$ and $n_i \equiv 1 \pmod{4}$

Proof See Problem Sheet 3

(19)

Prime Numbers

Distribution problems concerning primes are an important branch of number theory. One of the most important and oldest results is:

Theorem There are infinitely many prime numbers.

Proof By contradiction. Suppose there are only finitely many positive primes $p_i, 1 \leq i \leq n$.

Consider $N = \prod_{i=1}^n p_i + 1$. Then $p_i \nmid N, 1 \leq i \leq n$.

By the FTA there is at least one prime $p, p \mid N$.

$p \neq p_i$ for $1 \leq i \leq n$ \times \square

This proof also shows that if p_n is the n th prime, with

$p_i < p_{i+1} \forall i$, then $p_n \leq \prod_{i=1}^{n-1} p_i + 1$,

This is an estimate, although not a very good one.

One of the oldest methods for finding primes is the Sieve of

Eratosthenes The first prime is $p_1 = 2$ The second is $p_2 = 3$

To find p_{n+1} , cross out all proper multiples of $p_i, 1 \leq i \leq n$.

p_{n+1} is the smallest number after p_n which is not crossed out.

Another method which works well for small numbers is:

Theorem If $N \in \mathbb{Z}_+, N > 1$ is not prime, then there is a prime $p \leq \sqrt{N}$ with $p \mid N$.

(2c)

Proof If N is not prime then $N = kl$ for some $1 < k \leq l < N$. We can assume w.l.o.g. that k is prime. and $k^2 \leq kl \leq N$. \square .

Example 709 is prime To see this:

$$23^2 = 529 < 709 \quad 29^2 = 841 > 709.$$

Clearly 709 is not divisible by $2, 3, 5$

$$709 \equiv 2 \pmod{7}, \quad 5 \pmod{11}, \quad 7 \pmod{13}, \quad 12 \pmod{17}, \\ 3 \pmod{19}, \quad 19 \pmod{23}.$$

So 709 is prime.

Twin primes All primes apart from 2 are odd. Apart from $3, 5, 7$ there are never more than 2 consecutive odd primes (problem sheet 1). Twin primes are consecutive odd primes ≥ 11 e.g. $11, 13$; $17, 19$; $29, 31$; $41, 43$...

Twin prime conjecture There are infinitely many twin primes.

Defⁿ Let $p_1 < p_2 < \dots$ be the (positive) primes in increasing order. A prime gap is a set of composite (non-prime) integers between 2 primes. That is, of the form $\{k \in \mathbb{Z}_+ : p_n < k < p_{n+1}\}$ for some $n \geq 2$ e.g. $\{4\} = \{k \in \mathbb{Z}_+ : 3 < k < 5\}$
 $\{6\} = \{k \in \mathbb{Z}_+ : 5 < k < 7\}$ $\{8, 9, 10\} = \{k \in \mathbb{Z}_+ : 7 < k < 11\} \dots$

(21)

Theorem (Problem Sheet 1) There are arbitrarily large prime gaps.

The length of the prime gap is $\{k \in \mathbb{Z}_+ : p_n < k < p_{n+1}\}$.
 is $p_{n+1} - p_n$. This is always even, and since the number of integers is odd, there is always an odd number of integers in any prime gap.

Conjecture There is a prime gap of every even length ≥ 2 .

How many primes are there? Since there are infinitely many the question really is: What can we say about the number of primes $\leq n$, or about the size of $p_n \dots$

The function $\pi(x)$

for any $x \in \mathbb{R}$, $\pi(x)$ is the number of positive primes $\leq x$

$$\begin{aligned}\pi(x) &= 0 \quad \text{for } x < 2 \\ &= 1 \quad 2 \leq x < 3 \\ &= 2 \quad 3 < x \leq 5 \dots\end{aligned}$$

Prime number Theorem

$$\lim_{x \rightarrow +\infty} \frac{\pi(x)}{x/\log x} = 1$$

This was first ~~not~~ proved in the 19th century using complex analysis.

(22)

This is an important result in analytic number theory and will not be proved in this course. But some very close extensions of Chebyshev, which go some distance to proving this, and are used as the basis of a famous 20th century proof of the PNT due to ^{Donald} ~~Pafas~~ Newman, will be looked at.

D. Newman. Amer. Math Monthly 1980

Lemma $\lim_{x \rightarrow \infty} \frac{\pi(x)}{x/\ln x} = \lim_{n \rightarrow \infty} \frac{\pi(p_n)}{p_n/\ln p_n}$ if either limit exists and is ∞

Proof If the first limit exists, of course the second one does as well. If $f(x) = \frac{x}{\ln x}$ then $f'(x) = \frac{1}{\ln x} \left(1 - \frac{1}{\ln x}\right) > 0$

If $x > e$. So $\frac{\pi(p_{n+1}) - 1}{p_{n+1}/\ln p_{n+1}} < \frac{\pi(x)}{x/\ln x} < \frac{\pi(p_n)}{p_n/\ln p_n} \quad \forall p_n < x < p_{n+1}$

Since $\lim_{x \rightarrow \infty} \frac{x}{\ln x} = +\infty$ and hence $\lim_{n \rightarrow \infty} \frac{p_n}{\ln p_n} = +\infty$, the result follows.

Lemma $\lim_{n \rightarrow \infty} \frac{\pi(p_n)}{p_n/\ln p_n} = \lim_{n \rightarrow \infty} \frac{n \ln n}{p_n}$ if either limit exists and is non-zero.

Proof $\frac{\pi(p_n)}{p_n/\ln p_n} = \frac{n \ln p_n}{p_n}$ if $\lim_{n \rightarrow \infty} \frac{n \ln p_n}{p_n} = c$ or $\lim_{n \rightarrow \infty} \frac{n \ln n}{p_n} = c$.

for $c > 0$ then $\lim_{n \rightarrow \infty} (\ln n + \ln p_n p_n - \ln p_n) = \ln c$ or $\lim_{n \rightarrow \infty} (\ln n + \ln \ln n - \ln p_n) = \ln c$

(23)

These give $\lim_{n \rightarrow \infty} \left(\frac{\ln n}{\ln p_n} - 1 \right) = 0$ or $\lim_{n \rightarrow \infty} \left(\frac{\ln p_n}{\ln n} - 1 \right) = 0$

Either way, $\lim_{n \rightarrow \infty} \frac{\ln n}{\ln p_n} = \lim_{n \rightarrow \infty} \frac{\ln p_n}{\ln n} = 1$

So $\lim_{n \rightarrow \infty} \frac{n \ln p_n}{p_n} = \lim_{n \rightarrow \infty} \frac{n \ln n}{p_n}$ if either exists.

Corollary $\lim_{x \rightarrow +\infty} \frac{\pi(x)}{x/\ln x} = 1 \Leftrightarrow \lim_{n \rightarrow \infty} \frac{n \ln n}{p_n} = 1$.

Before looking at Chebyshev's estimates in detail, we will look at some related examples. What Chebyshev used was a way of calculating the ~~number of divisors~~^{power or any}, of given prime dividing a factorial, a binomial coefficient.

Example Find the number of zeros at the end of the number $217!$ (written in the usual base 10 expansion)

To do this we need to find the maximal m_1 and m_2 such that $2^{m_1} \mid 217!$ and $5^{m_2} \mid 217!$

Then $10^{\min(m_1, m_2)} \mid 217!$ and the number of zeros at

the end of $217!$ is $\min(m_1, m_2)$.

There are $\frac{216}{2} = 108$ numbers ≤ 217 divisible by 2.
But some of these are divisible by 2^2 , in fact 54 of them.
of these, 27 are divisible by 2^3

(24)

$$13 = \left\lfloor \frac{217}{16} \right\rfloor \text{ are divisible by } 2^4$$

$$6 = \left\lfloor \frac{217}{32} \right\rfloor \text{ divisible by } 2^5$$

$$3 = \left\lfloor \frac{217}{64} \right\rfloor \text{ divisible by } 2^6$$

$$1 = \left\lfloor \frac{217}{128} \right\rfloor \text{ divisible by } 2^7$$

Here $\left\lfloor \frac{n}{m} \right\rfloor$ is the largest integer $\leq \frac{n}{m}$ if $n, m \in \mathbb{N}$, $m > 0$

$$\text{So } m_1 = 108 + 54 + 27 + 13 + 6 + 3 + 1 = 212$$

$$\text{Similarly } m_2 = \left\lfloor \frac{217}{5} \right\rfloor + \left\lfloor \frac{217}{25} \right\rfloor + \left\lfloor \frac{217}{125} \right\rfloor \\ = 43 + 8 + 1 = 51$$

So the number of zeros at the end of $217!$ is 51.

Example Find the number of zeros at the end of $\binom{217}{33}$

$$= \frac{217 \times \dots \times 185}{1 \times \dots \times 33}$$

Once again the number of zeros is $\min(m_1, m_2)$, where 2^{m_1} and 5^{m_2} are the maximum powers of 2, 5 which divide $\binom{217}{33}$. There are 16 even numbers between 1 and 33 and 16 even between 185 and 217

There are 8 numbers divisible by 4 between 1 and 33 and

$$\left(\frac{216 - 188 + 4}{4} \right) = 1 + \frac{28}{4} = 8 \text{ between } 185 \text{ and } 217$$

(25)

4 divisible by 8 between 1 and 33

$$\frac{216 - 192}{8} + 1 = 4 \text{ between } 185 \text{ and } 217$$

2 divisible by 16 between 1 and 33 and 2 (192, 208)
between 185 and 217

32 and 192 divisible by 2^5 .

But 192 is also divisible by 2^6

~~(217)~~ is exactly an odd number. So we need
~~(32)~~ So $m_1 = 1$

to look further. We must have $\min(m_1, m_2) > 0$

Now we will look at ~~the next~~ m_2 , to see what happens.

$\boxed{3} \quad \left\lfloor \frac{33}{5} \right\rfloor = 6 \quad \text{But there are } \frac{215 - 185}{5} + 1 = 7$

numbers from 185 to 217 which are divisible by 5

$\left\lfloor \frac{33}{25} \right\rfloor = 1 \quad 200 \text{ is the only number between}$

185 and 217 which is divisible by $25 = 5^2$

$$\text{So } m_2 = (7+1) - (6+1) = 1.$$

$$\text{So } \min(m_1, m_2) = 1$$

By a similar method we can show $\binom{249}{33}$ is
odd and the last digit is 5

(26)

Chebyshev's upper and lower bounds.

For constants $C_1 > C_2 > 0$, Chebyshev proved

$$C_2 \frac{x}{\ln x} \leq \pi(x) \leq C_1 \frac{x}{\ln x} \quad \text{for all sufficiently large } x.$$

C_1 and C_2 can be taken closer together by taking x larger - but the method he used does not allow C_1 and C_2 to be taken arbitrarily close to 1, however large x is.

The main step in the upper bound is :

Theorem $\pi(2n) - \pi(n) \leq \frac{2n \ln 2}{\ln n} \quad \forall n \in \mathbb{Z}_+$.

Proof $2^{2n} = \sum_{k=0}^{2n} \binom{2n}{k} 1^k$

$$\text{So } \binom{2n}{n} < 2^{2n}$$

$$2^{2n} > \binom{2n}{n} = \frac{2n(2n-1)\dots(n+1)}{1 \times \dots \times n} > \prod_{\substack{\text{prime} \\ n < p \leq 2n}} p > n$$

This is because if p is prime, $n < p \leq 2n$, then

$$p \mid 2n(2n-1)\dots(n+1) \quad \text{but } p \nmid n! \quad \text{So } p \mid \binom{2n}{n}$$

$$\text{So } (\pi(2n) - \pi(n)) \ln n < 2n \ln 2 \quad \square$$

We also have $\pi(2n+1) - \pi(n+1) < \frac{(2n+1) \ln 2}{\ln(n+1)}$ by the same

method : $2^{2n+1} > \binom{2n+1}{n+1}$

(23)

Chebyshev's lower bound

Theorem $\pi(n) > \frac{n \ln 2 - 1}{\ln n} \quad \forall n \in \mathbb{Z}_+, n \geq 2.$

Proof Again we use $2^n = \sum_{k=0}^n \binom{n}{k}$.

Then the aim is to find an upper bound on $\binom{n}{k}$

by bounding the power of each prime p which can divide $\binom{n}{k}$ — in exactly the same way as we did in

explicit examples.

If p is prime and $p \mid \binom{n}{k}$, then $p \leq n$.

Then p divides $\left\lfloor \frac{k}{p} \right\rfloor$ of the integers between 1 and k inclusive. If p divides at most $\left\lfloor \frac{k}{p} \right\rfloor + 1$ of the integers from $n-k+1$ to n inclusive,

p^t can only divide $k!$ if $p^t \leq k \leq n$ and whenever $p^t \leq n$, $\left\lfloor \frac{k}{p^t} \right\rfloor$ of the integers between 1 and k and whenever $p^t \leq n$,

p^t can divide at most $\left\lfloor \frac{k}{p^t} \right\rfloor + 1$ of the integers from $n-k+1$ to n . So the maximum power of p dividing $n!$ is $\underbrace{p \times p \times \dots \times p}_{\text{Times}} \text{ where } p^t \leq n$.

So the maximum power of p dividing $\binom{n}{k}$ is $\underbrace{\frac{n!}{k!}}_{\text{Times}} \text{ with } p^t \leq n$.

(27)

Since $\frac{x}{\ln x}$ is an increasing function for $x \geq e$

it follows that

$$\pi(x) - \pi\left(\frac{x}{2}\right) - 1 \leq \frac{x \ln 2}{\ln\left(\frac{x}{2}\right)} \quad \forall x \in [e, \infty)$$

(This means x is red)

$$\text{So } \frac{\pi(x) \ln x}{x} < \frac{\ln x}{\ln\left(\frac{x}{2}\right)} \left(\frac{1}{2} \frac{\pi(x_2) \ln x_2}{x_2} + \ln 2 \right) + \frac{\ln x}{x}$$

$$\text{Writing } g(x) = \pi(x) \frac{\ln x}{x},$$

$$g(x) < \frac{\ln x}{\ln x - \ln 2} \left(\frac{1}{2} g\left(\frac{x}{2}\right) + \ln 2 \right) + \frac{\ln x}{x}$$

So if $g\left(\frac{x}{2}\right) < C$ we have $g(x) < C$ provided that

$$\frac{\ln x}{\ln x - \ln 2} \left(\frac{C}{2} + \ln 2 \right) + \frac{\ln x}{x} < C$$

It is not possible to do this for $C \leq 2\ln 2$.

It is possible to show e.g. $g(x) < 2 \quad \forall x \geq 2$.

This was on last year's problem sheet 3.

(29)

$$\text{So } \binom{n}{k} \leq \prod_{\substack{\text{pprime} \\ p \leq n}} p^{\frac{k}{p}} = n^{\pi(n)}$$

$$\text{So } 2^n = 2 + \sum_{k=1}^{n-1} \binom{n}{k} \leq 2 + (n-1) \cdot n < n \quad \forall n \geq 2$$

$$\text{So } n \ln 2 < (\pi(n)+1) \ln n$$

$$\text{and } \pi(n) > \frac{n \ln 2}{\ln n} - 1 \quad \forall n \geq 2$$

Riemann Zeta Function

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s} \quad \text{as defined for all real } s > 1$$

It is also defined for all $s \in \mathbb{C}$ with $\operatorname{Re}(s) > 1$ if we define

$$n^{-s} = e^{-s \ln n}$$

The Riemann zeta function is very important in more advanced means or distribution of primes.

There is an alternative expression of $\zeta(s)$ as an infinite product. This expression is due to Euler.

$$\text{Theorem} \quad \zeta(s) = \prod_{\text{pprime}} (1 - p^{-s})^{-1} \quad \forall s > 1 \quad (\text{and } s \in \mathbb{C} \text{ with } \operatorname{Re}(s) > 1)$$

$$\text{Proof} \quad (1 - p^{-s})^{-1} = \sum_{k=0}^{\infty} p^{-sk}$$

But if $n \in \mathbb{Z}_+$, then $n = \prod_{i=1}^r p_i^{k_i}$ for some $r \in \mathbb{Z}_+$, p_i prime, $k_i \in \mathbb{Z}_+$

(30)

$$\text{So } n^{-s} = \prod_{i=1}^r p_i^{-k_i s}$$

$$\text{So } \prod_{\text{prime}} \left(\sum_{k=0}^{\infty} p^{-sk} \right) = \sum_{n=1}^{\infty} n^{-s} \quad \operatorname{Re}(s) > 0$$

i.e. $\zeta(s) = \sum_{n=1}^{\infty} n^{-s} = \prod_{\text{prime}} (1-p^{-s})^{-1}$

B.

Also $\prod_{\substack{\text{prime} \\ p \leq n}} (1-p^{-1})^{-1} \rightarrow \infty \text{ as } n \rightarrow \infty$.

In fact $\prod_{\substack{\text{prime} \\ p \leq n}} (1-p^{-1})^{-1} = \prod_{\text{prime}} \left(\sum_{k=0}^{\infty} p^{-k} \right) \geq \sum_{m=1}^n \frac{1}{m} \rightarrow \infty$
 $\text{as } m \rightarrow \infty$

$$\text{So } \ln \left(\prod_{\substack{\text{prime} \\ p \leq n}} (1-p^{-1})^{-1} \right) \rightarrow \infty \text{ as } n \rightarrow \infty$$

$$\sum_{\substack{p \leq n \\ \text{prime}}} -\ln(1-p^{-1}) \rightarrow \infty \text{ as } n \rightarrow \infty$$

$$-\ln(1-p^{-1}) = \frac{1}{p} - \frac{1}{2p^2} \dots > \frac{1}{2p} \quad \forall p \geq 2$$

$$\text{So } \sum_{\substack{p \leq n \\ \text{prime}}} \frac{1}{p} \rightarrow \infty \text{ as } n \rightarrow \infty$$

(31)

The multiplicative group mod n

Let $n \in \mathbb{Z}_+$, $n \geq 1$

Defn $\mathbb{Z}_n = \{k \bmod n : k \in \mathbb{Z}\}$

(\mathbb{Z}_n is an example of a quotient ring)

$\mathbb{Z}_n = \mathbb{Z}/n\mathbb{Z} = \{n\mathbb{Z} + k : k \in \mathbb{Z}\}$ where $n\mathbb{Z} = \{mn : m \in \mathbb{Z}\}$
 is an ideal in \mathbb{Z})

If $k_1 \equiv k_2 \pmod{n}$ and $m_1 \equiv m_2 \pmod{n}$ then

$k_1 + m_1 \equiv k_2 + m_2 \pmod{n}$ and $k_1 m_1 \equiv k_2 m_2 \pmod{n}$

So addition and multiplication in \mathbb{Z}_n are well-defined

key $k_1 \pmod{n} + m_1 \pmod{n} = (k_1 + m_1) \pmod{n}$

$(k_1 \pmod{n}) \cdot (m_1 \pmod{n}) = k_1 m_1 \pmod{n}$

Usually restrict to $n \geq 2$ so that $1 \pmod{n} \neq 0 \pmod{n}$

$1 \pmod{n}$ is an identity element $(k \pmod{n}) \cdot (1 \pmod{n}) = k \pmod{n}$

$\forall k \pmod{n} \in \mathbb{Z}_n$

\mathbb{Z}_n is a commutative ring with identity (additive + multiplicative are commutative)

Defn $G_n = \{k \pmod{n} : \gcd(k, n) = 1\}$ is closed under multiplication

If $\gcd(k_1, n) = 1$ and $\gcd(k_2, n) = 1$ then $\gcd(k_1 k_2, n) = 1$

$\gcd(k, n) = 1 \Leftrightarrow \exists a, b \in \mathbb{Z}$ such that

$ak + bn = 1$ then $\gcd(a, n) = 1$ and

$(k \pmod{n}) \cdot (a \pmod{n}) \equiv 1 - bn \equiv 1 \pmod{n}$

G_n is a multiplicative group. Each $k \pmod{n} \in G_n$ has

a multiplicative inverse $a \pmod{n}$ such that $ak \equiv 1 \pmod{n}$

For this reason, G_n is often known as the group of units mod n

(B2)

Defⁿ The Euler phi-function $\phi(n)$ is defined by

$$n \in \mathbb{Z}_+ \quad \phi(n) = \#\{k \in \mathbb{Z}_+: 1 \leq k \leq n, \gcd(k, n) = 1\} (= \#(G_n))$$

$$\phi(1) = 1 \quad \text{by definition}$$

$$\phi(2) = 1 \quad \phi(3) = 2 \quad \text{If } p \text{ is prime, } \phi(p) = p - 1.$$

$\phi(n)$ is the number of elements of G_n

Examples $G_2 = \{1 \pmod{2}\}$ $G_3 = \{1, 2\}$ (or drop "mod n"
if the context is clear)

$$G_4 = \{1, 3\} \quad \text{because } 2 \notin G_4, \gcd(2, 4) = 2$$

Defⁿ $k \pmod{n} \in \mathbb{Z}_n$ (or $k \in \mathbb{Z}_n$) is a zero-divisor if

$k \neq 0 \pmod{n}$ and $kl \equiv 0 \pmod{n}$ for some $l \neq 0 \pmod{n}$.

Example $2 \in \mathbb{Z}_4$ is a zero divisor because $2 \neq 0 \pmod{4}$

$$\text{but } 2 \times 2 \not\equiv 0 \pmod{4}$$

$$0 < k < n \quad \cancel{0} \quad \cancel{k}$$

$\forall k \in \mathbb{Z}_{n,n}$ is a zero divisor $\Leftrightarrow \gcd(k, n) > 1$ because
if $\gcd(k, n) = d$ then $k = k_1d$, $n = n_1d$ and $n_1 \neq 0 \pmod{n}$
and $kn_1 \equiv 0 \pmod{n}$

conversely $0 < k < n$ and $kl \equiv 0 \pmod{n}$ for $0 < l < n$

then $n = k_1l_1$ where $k_1 | k$, $l_1 | l$ $1 \leq k_1 \leq k \leq n$,

$1 \leq l_1 \leq l < n$. Then $n = k_1l_1 \Rightarrow 1 \leq k_1, l_1$, so

$\gcd(k, n) \geq k_1 > 1$ (and $\gcd(l, n) \geq l_1 > 1$)

Notation $\mathbb{Z}_n^* = \{k \pmod{n} : k \neq 0 \pmod{n}\}$

If n is prime then $\mathbb{Z}_n^* = G_n$

Group axioms

Given A set G a group of there is
a binary operation $(g, h) \mapsto gh : G \times G \rightarrow G$

Satisfying the following axioms

Associativity $(gh)k = g(hk) \quad \forall g, h, k \in G$

Identity element $\exists 1 \in G$ s.t. $g1 = 1g = g \quad \forall g \in G$

Inverses $\forall g \in G \quad \exists g^{-1} \in G$ s.t. $gg^{-1} = g^{-1}g = 1$

The group is commutative or abelian if in
addition the following property holds

Commutativity

$$gh = hg \quad \forall g, h \in G.$$

The binary operation is usually called multiplication

but sometimes in a commutative group it is called
addition. If it is called multiplication it can
be written

$$gh \text{ or } g.h \text{ or } g \times h.$$

If it is called addition it is written $g+h$,
the identity element is written as 0 and
the inverse of g is written as $-g$.

Examples G_n is a finite commutative group -
under multiplication mod n .

(24) (25)

Product Group

If G and H are groups we can define the product group (of G and H)

$G \times H = \{(g, h) : g \in G, h \in H\}$ with multiplication (the binary operation) defined by

$$(g_1, h_1) \cdot (g_2, h_2) = (g_1 g_2, h_1 h_2)$$

The group axioms are satisfied

1. This multiplication is associative
2. $(1, 1)$ is the identity element of $G \times H$
3. (g^{-1}, h^{-1}) is the inverse ~~element~~ of (g, h)

Similarly we can define the product

$H_1 \times \dots \times H_r$ of groups $H_i, 1 \leq i \leq r$

Examples $G_3 \times G_5$ and $G_2 \times G_3 \times G_5$ are groups.

Product groups are useful in classifying groups and in particular in determining when two groups are the same.

(35)

Homomorphisms and Isomorphisms

Let G and H be groups.

Defⁿ $\psi: G \rightarrow H$ is a (group) homomorphism

if $\psi(g_1g_2) = \psi(g_1)\psi(g_2) \quad \forall g_1, g_2 \in G$.

It follows from this that $\psi(1_G) = 1_H$

where 1_G and 1_H are the identity elements of G and H

and $\psi(g^{-1}) = (\psi(g))^{-1} \quad \forall g \in G$.

Defⁿ ψ is an isomorphism if ψ is a homomorphism and also a bijection. If ψ is an isomorphism then $\psi^{-1}: H \rightarrow G$ is defined and is also a homomorphism - and an isomorphism.

Examples Let m/n then $\psi: \mathbb{Z}_n \rightarrow \mathbb{Z}_m$ preserves multiplication if ψ is defined by

$$\psi(k \text{ mod } n) = k \text{ mod } m$$

$$\gcd(k, n) = 1 \implies \gcd(k, m) = 1.$$

So $\psi(G_n) \subset G_m$ and $\psi: G_n \rightarrow G_m$

is a group homomorphism

(23) (36)

To see that ψ is well-defined.

If $x_1 \equiv x_2 \pmod{n}$ then

$n | x_1 - x_2$ and hence $m | x_1 - x_2$

and $x_1 \equiv x_2 \pmod{m}$.

Non Example Define $\psi: G_n \rightarrow \mathbb{C}$ by $\psi(x \pmod{n}) = e^{2\pi i x/m}$. ψ is an injective map but not homomorphism where image is no ~~subset~~ or primitive ~~nth~~ roots of unity $\{z : z^n = 1, z^m \neq 1 \text{ or } m \mid n\}$

Example If $n_i \mid n$ for $1 \leq i \leq r$ then

$\psi: G_n \rightarrow \mathbb{Z}_{n_1} \times \dots \times \mathbb{Z}_{n_r}$ is well-defined

and preserves multiplication where

$$\psi(x \pmod{n}) = \psi(x \pmod{n_1}, \dots, x \pmod{n_r})$$

Once again $\gcd(x, n) = 1 \Rightarrow \gcd(x, n_i) = 1$ for $1 \leq i \leq r$.

$$So \psi(G) \subset G_1 \times \dots \times G_r.$$

Theorem If $n = n_1 \times \dots \times n_r$ and $\gcd(n_i, n_j) = 1$

for $i \neq j$ $1 \leq i, j \leq r$ then

$\psi: \mathbb{Z}_n \rightarrow \mathbb{Z}_{n_1} \times \dots \times \mathbb{Z}_{n_r}$ defined as above

is a bijection and is an isomorphism from

G_n to $G_{n_1} \times \dots \times G_{n_r}$

Proof \mathbb{Z}_n and $\mathbb{Z}_{n_1} \times \dots \times \mathbb{Z}_{n_r}$ both have $n = n_1 \times \dots \times n_r$ elements. So ψ is a bijection from \mathbb{Z}_n to $\mathbb{Z}_{n_1} \times \dots \times \mathbb{Z}_{n_r}$

If it is injective

(29) (37)

To show that ψ is injective:

Suppose $x \equiv y \pmod{n_i}$ for $1 \leq i \leq r$

Then $n_i | x-y \quad 1 \leq i \leq r$

Since all n_i are coprime $\text{lcm of } n_i$ is $n = n_1 \times \dots \times n_r$

$\text{so } n | x-y \text{ (by defn of lcm)}$

That is $x \equiv y \pmod{n}$

So ψ is injective

To show $\psi(G_n) = G_{n_1} \times \dots \times G_{n_r}$:

Every element of $\mathbb{Z}_{n_1} \times \dots \times \mathbb{Z}_{n_r}$ is of the form

$(x_1 \pmod{n_1}, \dots, x_r \pmod{n_r})$ since ψ is a bijection

onto $\mathbb{Z}_{n_1} \times \dots \times \mathbb{Z}_{n_r}$. Such an element is in

$G_{n_1} \times \dots \times G_{n_r} \iff \gcd(x, n_i) = 1 \quad 1 \leq i \leq r$.

But if $\gcd(x, n_i) = 1$ for $1 \leq i \leq r$ then

$\gcd(x, n) = 1$ and $x \pmod{n} \in G_n$.

So $\psi(G_n) = G_{n_1} \times \dots \times G_{n_r}$

□.

Corollary If n_1, \dots, n_r are coprime then

$$\phi(n_1 \times \dots \times n_r) = \phi(n_1) \times \dots \times \phi(n_r)$$

Proof If $n = G_{n_1} \times \dots \times G_{n_r}$ then G_n and $G_{n_1} \times \dots \times G_{n_r}$ are isomorphic. In particular they have the same

(38)

number of elements. These numbers are $\phi(n)$ and $\phi(n_1) \times \phi(n_r)$

$$\text{So } \phi(n) = \phi(n_1) \times \phi(n_r)$$

Example $15 = 5 \times 3$

$$\phi(15) = \phi(5) \times \phi(3) = (5-1)(3-1) = 8$$

$$G_{15} = \{1, 2, 4, 7, 8, 11, 13, 14\}$$

To construct $\psi: \mathbb{Z}_{15} \rightarrow \mathbb{Z}_5 \times \mathbb{Z}_3$:

$$\begin{array}{lll}
 0 \mapsto (0,0) & 1 \mapsto (1,1) & 2 \mapsto (2,2) \\
 3 \mapsto (3,0) & 4 \mapsto (4,1) & 5 \mapsto (0,2) \\
 6 \mapsto (1,0) & 7 \mapsto (2,1) & 8 \mapsto (3,2) \\
 9 \mapsto (4,0) & 10 \mapsto (0,1) & 11 \mapsto (1,2) \\
 12 \mapsto (2,0) & 13 \mapsto (3,1) & 14 \mapsto (4,2)
 \end{array}$$

Note that the image of G_{15} is $G_5 \times G_3$

as expected $G_5 \times G_3 = \{(l, k) : l \not\equiv 0 \pmod{5}, k \not\equiv 0 \pmod{3}\}$

29

Example To map \mathbb{Z}_6 to $\mathbb{Z}_3 \times \mathbb{Z}_2$ we
 $x \bmod 6 \mapsto (x \bmod 3, x \bmod 2)$

$$0 \mapsto (0, 0), 1 \mapsto (1, 1), 2 \mapsto (2, 0)$$

$$3 \mapsto (0, 1), 4 \mapsto (1, 0), 5 \mapsto (3, 1)$$

$$G_6 = \{1, 5\} \quad G_3 \times G_2 = \{(1, 1), (3, 1)\}$$

$$\begin{array}{c|cc} & (1,1) & (3,1) \\ \hline (1,1) & (1,1) & (3,1) \\ (2,1) & (2,1) & (1,1) \end{array}$$

$$\begin{array}{c|cc} & 1 & 5 \\ \hline 1 & 1 & 5 \\ 5 & 5 & 1 \end{array}$$

General formula for $\phi(n)$

While $n = \prod_{i=1}^r p_i^{k_i}$ where p_i are distinct primes
 and $k_i > 0$

$$\text{Then } \phi(n) = \prod_{i=1}^r \phi(p_i^{k_i}) \quad \text{because } p_i^{k_i} \text{ and } p_j^{k_j} \text{ are coprime}$$

are coprime for $i \neq j$. But what is $\phi(p^k)$?

We know $\phi(p) = p-1$ if p is prime.

Lemma If p is prime, $\phi(p^k) = p^{k-1}(p-1)$

Proof $\phi(p^k) = \#\{m : 1 \leq m \leq p^k, \gcd(m, p^k) = 1\}$

$$= \#\{m : 1 \leq m \leq p^k : \gcd(m, p) = 1\}$$

$$= p^k - \#\{s : 1 \leq s \leq p^k\} = p^k - \#\{s : 1 \leq s \leq p^{k-1}\}$$

$$= p^k - p^{k-1} = p^{k-1}(p-1)$$

(40)

Example

$$\phi(4) = 2^2 - 2 = 2$$

Confirmation: $G_4 = \{1, 3\}$ — 2 elements

Example $\phi(12) = \phi(2^2) \times \phi(3) = 2(2-1) \times 3-1 = 4$

$$\therefore G_{12} = \{1, 5, 7, 11\}$$

Congruence Equations

A linear congruence equation is one of the form

$$ax \equiv b \pmod{n} \quad (1)$$

A system of linear congruence equations is a system of ~~the~~

equations

$$\begin{cases} a_1x \equiv b_1 \pmod{n_1} \\ \vdots \\ a_r x \equiv b_r \pmod{n_r} \end{cases} \quad (2)$$

Such equations or systems of equations may or may not have a solution. (1), If (1) has a solⁿ, it is of the form $x \equiv c \pmod{n}$. If (2) has a solⁿ, it is of the form $x \equiv c \pmod{n}$ where $n = \text{lcm}(n_1, \dots, n_r)$

Example Find the multiplicative inverse of $\forall G_{12}$.

This can be written as: Solve

$$7x \equiv 1 \pmod{12}$$

The solⁿ of $x \equiv 7 \pmod{12}$ since $7 \times 7 \equiv 1 \pmod{12}$. This solution is unique.