Export Control and End User Assessment flowchart

This flowchart is to help you assess whether Export Controls (EC) apply to your work/activity/project or if there are 'end user' concerns. For more detailed information please visit the Export Controls webpage and contact exportcontrol@liverpool.ac.uk if you have further questions or wish to submit an EC licence application.

Am I exporting as part of my research or teaching activities?

Consider:

- Transfer or disclosure of tangible or intangible materials, technology or information by any means to any recipient outside the UK.
- Any collaborators (including funders) overseas either now or in the future
- Travel overseas as part of the activity
- Are any PG level students receiving information, technology or materials whilst overseas?

YES

1. Are any 'Items' (goods, technology, software or information) being exported on the "UK Strategic Export Control List". Use the Goods Checker tool to do a key word search.
   AND/OR
2. Do any Sanctions or Embargoes apply to an entity I am working with?

YES

Exports of Software and Technology could be exempt, do any of the exemptions apply?

- Technology, data or know-how already in the public domain
- Technology, data or know-how which is basic scientific research
- Technology, data or know-how (basic information) to file a patent application.

NO

NO

Do I know, or suspect, that any participants (staff, students, collaborators, ultimate end user) intend to use material or information for Military or WMD purposes?
This refers to end-use concerns and red flags.

YES

NO

Are any items (goods, technology, software) subject to US export controls? (a US collaborator should be able to advise you of this)

YES

YES

NO

Export Controls do not apply. Proceed in accordance with any law, UoL policies and funding stipulations.

If your research or teaching changes, or relevant collaborators/organisations involved change, re-assess whether export controls will then apply.

UK Export Controls and/or other restrictions apply.

Please follow the guidance online and contact exportcontrol@liverpool.ac.uk to submit an enquiry with a view to applying for an export control licence.

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